

STIEFEL-WHITNEY CLASSES

I. AXIOMS AND CONSEQUENCES

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ABSTRACT. After a brief review of cohomology theory we define the Stiefel-Whitney classes associated to a vector bundle and prove some consequences from their axioms. We proceed to compute the Stiefel-Whitney classes of projective space and apply the result to show non-existence of real division algebras in most dimensions.

Notation. We denote by ϵ^n the trivial n -dimensional vector bundle over a given space. Isomorphism in the respective category is denoted by \cong (e.g. homeomorphism for topological spaces, isomorphism of Abelian groups, equivalence of bundles over a fixed base space).

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1. COHOMOLOGY THEORY

Since the Stiefel-Whitney classes of a vector bundle are invariants which live in the cohomology groups of the base space we shall give a brief review of cohomology theory (cf. [Hat02], [Lü05] or [May99] for more detailed accounts).

Axioms. A *cohomology theory* with coefficients in an R -module M is a contravariant functor

$$H^*(\cdot; M) : \text{Topological pairs} \rightarrow \mathbb{Z}\text{-graded Abelian groups}$$

together with natural transformations

$$\partial : H^k(A; M) \rightarrow H^{k+1}(X, A; M)$$

satisfying the *Eilenberg-Steenrod axioms*¹:

(C1) homotopy-invariance: any two homotopic maps induce the same morphism

(C2) long exact sequence: any pair (X, A) induces a long exact sequence of the form

$$\cdots \longrightarrow H^k(X, A; M) \xrightarrow{\text{id}^*} H^k(X; M) \xrightarrow{\text{incl}^*} H^k(A; M) \xrightarrow{\partial} H^{k+1}(X, A; M) \longrightarrow \cdots$$

(C3) excision: given subspaces $\text{cl}(Z) \subseteq \text{int}(A) \subseteq X$ we have induced isomorphisms

$$H^k(X, A; M) \xrightarrow[\cong]{\text{incl}^*} H^k(X \setminus Z, A \setminus Z; M)$$

¹We write X for a pair (X, \emptyset) and we denote by f^* the morphism $H^k(f)$ induced by a map f .

(C4) product axiom: given a family of topological pairs (X_i, A_i) we have induced isomorphisms

$$H^k(\coprod_i (X_i, A_i); M) \xrightarrow{\prod_i \text{incl}_i^*} \prod_i H^k(X_i, A_i; M)$$

An *ordinary cohomology theory* also satisfies the following axiom:

(C5) dimension axiom:

$$H^k(\{*\}; M) = \begin{cases} M & , k = 0 \\ 0 & , k \neq 0 \end{cases}$$

Theorem 1. *There exists a cohomology theory for an arbitrary coefficient module, called singular cohomology.*

Reduced Cohomology. For calculations it is often a nuisance that the cohomology groups of a point are trivial. This motivates the definition of the *reduced cohomology groups*

$$\tilde{H}^k(X; M) := H^k(X, \{*\}; M)$$

Proposition 2.

$$H^k(X; M) \cong \tilde{H}^k(X; M) \oplus H^k(\{*\}; M)$$

In particular, points (and hence contractible spaces) have trivial reduced cohomology.

Proof. By the long exact sequence (C2)

$$\cdots \longrightarrow \tilde{H}^k(X; M) \xrightarrow{\text{id}^*} H^k(X; M) \xrightarrow{\text{incl}^*} H^k(\{*\}; M) \xrightarrow{\hat{c}} \tilde{H}^{k+1}(X; M) \longrightarrow \cdots$$

Now, every space retracts to a point, i.e. $\text{const}_* \circ \text{incl} = \text{id}_{\{*\}}$. Hence $\text{incl}^* \circ \text{const}_*^* = \text{id}_{H^k}$ and we have a split exact sequence

$$0 \longrightarrow \tilde{H}^k(X; M) \xrightarrow{\text{id}^*} H^k(X; M) \xleftarrow[\text{const}_*^*]{\text{incl}^*} H^k(\{*\}; M) \longrightarrow 0$$

□

There is also a long exact sequence for reduced cohomology:

Theorem 3. *Any pair (X, A) induces a long exact sequence of the form*

$$\cdots \longrightarrow H^k(X, A; M) \longrightarrow \tilde{H}^k(X; M) \longrightarrow \tilde{H}^k(A; M) \longrightarrow H^{k+1}(X, A; M) \longrightarrow \cdots$$

(Observe that the base point $$ has to be chosen in A .)*

Proof. By functoriality, naturality and the long exact sequence (C2) we have the following diagram with exact rows and columns:

$$\begin{array}{ccccccccccc} \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ & & & & & & & & & & \\ & & & & & & & & & & \\ \cdots & \longrightarrow & H^k(X, A; M) & \longrightarrow & \tilde{H}^k(X; M) & \longrightarrow & \tilde{H}^k(A; M) & \longrightarrow & H^{k+1}(X, A; M) & \longrightarrow & \cdots \\ & & \downarrow \text{id} & & \downarrow \text{id}^* & & \downarrow \text{id}^* & & \downarrow \text{id} & & \\ \cdots & \longrightarrow & H^k(X, A; M) & \xrightarrow{\text{id}^*} & H^k(X; M) & \xrightarrow{\text{incl}^*} & H^k(A; M) & \xrightarrow{\hat{c}} & H^{k+1}(X, A; M) & \longrightarrow & \cdots \\ & & \downarrow \text{incl}^*=0 & & \downarrow \text{incl}^* & & \downarrow \text{incl}^* & & \downarrow \text{incl}^*=0 & & \\ \cdots & \longrightarrow & H^k(\{*\}, \{*\}; M) & \xrightarrow{\text{id}^*} & H^k(\{*\}; M) & \xrightarrow{\text{incl}^*} & H^k(\{*\}; M) & \xrightarrow{\hat{c}} & H^{k+1}(\{*\}, \{*\}; M) & \longrightarrow & \cdots \end{array}$$

The existence of the dotted maps in the first row follows from diagram chasing. □

Spheres. Long exact sequences are the main tool in computing the cohomology of spaces.

Example 4. The reduced cohomology groups of the spheres are given by

$$\tilde{H}^k(S^n; M) = \begin{cases} M & , n = k \\ 0 & , \text{otherwise} \end{cases}$$

Proof. (1) The assertion for $S^0 = \{\pm 1\}$ is immediate from the product and dimension axioms (C4) and (C5).

(2) On the other hand it follows from the long exact reduced cohomology sequence for the pair (D^{n+1}, S^n) that

$$\tilde{H}^k(S^n; M) \cong H^{k+1}(D^{n+1}, S^n; M)$$

(3) Now consider the “wrap-around map” $f : (D^{n+1}, S^n) \rightarrow (S^{n+1}, \{*\})$ which is a homeomorphism away from the boundary (it is the inverse of stereographic projection from $*$). We define subspaces

$$S^n \subseteq \underbrace{(D^{n+1} \setminus \frac{3}{4}D^{n+1})}_{=: Z} \subseteq \underbrace{\frac{1}{2}D^{n+1}}_{=: A} \subseteq D^{n+1},$$

$$* \in f(Z) \subseteq f(A) \subseteq S^{n+1}$$

and get a commutative diagram

$$\begin{array}{ccc} (D^{n+1}, S^n) & \xrightarrow{f} & (S^{n+1}, \{*\}) \\ \downarrow \simeq & & \downarrow \simeq \\ (D^{n+1}, A) & \xrightarrow{f} & (S^{n+1}, f(A)) \\ \uparrow & & \uparrow \\ (D^{n+1} \setminus Z, A \setminus Z) & \xrightarrow[\cong]{f} & (S^{n+1} \setminus f(Z), f(A) \setminus f(Z)) \end{array}$$

The upper inclusions are homotopy equivalences, the lower inclusions satisfy the hypotheses of excision and the bottom restriction is a homeomorphism. Hence they induce isomorphism of the cohomology groups and it follows that f induces the first isomorphism in

$$\tilde{H}^{k+1}(S^{n+1}; M) \cong H^{k+1}(D^{n+1}, S^n; M) \cong \tilde{H}^k(S^n; M)$$

The claim now follows by induction. \square

Multiplicative Structure. A *multiplicative structure* on a cohomology theory $H^*(\cdot; M)$ with coefficients in an R -module M is given by a family of R -linear maps

$$\cup : H^k(X, A; M) \times H^l(X, B; M) \rightarrow H^{k+l}(X, A \cup B)$$

(called the *cup product*) together with a unit $1_X \in H^0(X; M)$ satisfying the following axioms:

(M1) associativity

(M2) graded commutativity:

$$x \cup y = (-1)^{kl} y \cup x \quad (\forall x \in H^k(X, A; M), y \in H^l(X, B; M))$$

(M3) naturality

(M4) compatibility with the boundary map: if (X, A) is any pair, then

$$\partial(a) \cup x = \partial(a \cup \text{incl}^*(x)) \quad (\forall a \in H^k(A; M), x \in H^l(X; M))$$

We will usually omit the \cup symbol from products. The product turns the groups

$$H^*(X, A; M) := \bigoplus_{k=0}^{\infty} H^k(X, A; M) \quad \text{and} \quad H^{**}(X, A; M) := \prod_{k=0}^{\infty} H^k(X, A; M)$$

into unital graded commutative rings, called the *cohomology rings*.

Lemma 5. *Elements of the form $1_X + x$ where the zeroth coefficient of x vanishes are invertible in $H^{**}(X, A; M)$.*

Theorem 6. *Singular cohomology admits a multiplicative structure if $M = R$ is a commutative unital ring.*

Projective Space. The following theorem describes the structure of the singular cohomology ring of projective space with \mathbb{Z}_2 -coefficients. Note that this is a commutative ring since $-1 = 1$ in \mathbb{Z}_2 .

Theorem 7. *The cohomology groups of projective space with \mathbb{Z}_2 -coefficients are given by*

$$H^k(P^n; \mathbb{Z}_2) = \begin{cases} \mathbb{Z}_2 & , k \leq n \\ 0 & , \text{otherwise} \end{cases}$$

and the higher cohomology groups are generated by the respective powers of the generator $0 \neq a \in H^1(P^n; \mathbb{Z}_2)$.

That is, $H^*(P^n; \mathbb{Z}_2)$ is the unital graded commutative ring generated by a single element a of degree 1 subject to the relation $a^{n+1} = 0$.

2. STIEFEL-WHITNEY CLASSES

Axioms. The *Stiefel-Whitney classes* are cohomology classes $w_k(\xi) \in H^k(X; \mathbb{Z}_2)$ assigned to each vector bundle $\xi : E \rightarrow X$ such that the following axioms are satisfied:

(S1) $w_0(\xi) = 1_X$

(S2) $w_k(\xi) = 0$ if ξ is an n -dimensional vector bundle and $k > n$

(S3) naturality: $w_k(\xi) = f^*(w_k(\eta))$ if there is a bundle map $\xi \rightarrow \eta$ with base map f

(S4) Whitney product axiom: $w_k(\xi \oplus \eta) = \sum_{i+j=k} w_i(\xi)w_j(\eta)$

(S5) non-triviality: $w_1(\gamma_1^1) \neq 0$ where γ_1^1 is the canonical line bundle over P^1

If we define the *total Stiefel-Whitney class* as $w(\xi) := (w_k(\xi)) \in H^{**}(X; \mathbb{Z}_2)$, then the fourth axiom can be equivalently stated as follows:

(S4') $w(\xi \oplus \eta) = w(\xi)w(\eta)$

Observe that every total Stiefel-Whitney class is invertible by axiom (S1) and Lemma 5. If $w(\xi) = 1$ then we say that the total Stiefel-Whitney class is *trivial*.

Finally we define the Stiefel-Whitney classes of a manifold M in terms of its tangent bundle:

$$w_i(M) := w_i(\tau_M) \quad \text{and} \quad w(M) := w(\tau_M)$$

Consequences. The following properties follow directly from the axioms.

Proposition 8. *Two equivalent vector bundles have equal Stiefel-Whitney classes.*

Proof. A bundle equivalence is a bundle map inducing the identity as its base map; hence the claim follows by naturality (S3). \square

Proposition 9. *Trivial vector bundles have trivial total Stiefel-Whitney class.*

Proof. Any trivial vector bundle over X is equivalent to a vector bundle of the form $X \times \mathbb{R}^n$. Thus the diagram

$$\begin{array}{ccc} X \times \mathbb{R}^n & \xrightarrow{\text{proj}_2} & \mathbb{R}^n \\ \text{proj}_1 \downarrow & & \downarrow \\ X & \longrightarrow & \{*\} \end{array}$$

shows that there is a bundle map to a vector bundle over a point. But we know that all higher cohomology groups of a point are trivial. Hence the claim follows from naturality (S3). \square

Recall that a manifold is called *parallelizable* if its tangent bundle is trivial. Thus the Stiefel-Whitney classes of a manifold measure obstruction to parallelizability:

Corollary 10. *Parallelizable manifolds have trivial Stiefel-Whitney class.*

Corollary 11 (Stability). *The Stiefel-Whitney classes do not change upon addition of a trivial bundle.*

Proof. Apply the Whitney product axiom (S4). \square

Corollary 12. *Let ξ be an n -dimensional Euclidean bundle. If ξ possesses k nowhere linearly dependent sections, then the Stiefel-Whitney classes $w_i(\xi)$ vanish already if $i > n - k$.*

Proof. The k nowhere linearly dependent sections span a *trivial* k -dimensional subbundle $\eta \subseteq \xi$. By means of the continuous inner product we can construct a $(n - k)$ -dimensional orthogonal complement η^\perp . We have $\eta \oplus \eta^\perp = \xi$, hence $w(\xi) = w(\eta^\perp)$ by stability, and the claim follows from axiom (S2). \square

Tangent and Normal Bundle. Let $N \subseteq M$ be a smooth submanifold of a smooth Riemannian manifold. Then the tangent bundle of N is a subbundle of the tangent bundle of M restricted to N , i.e. $\tau_N \subseteq \tau_M|_N$ and, as before, by means of the smooth inner product we can construct an orthogonal complement ν_N^M called the *normal bundle* of $N \subseteq M$, and $\tau_N \oplus \nu_N^M \cong \tau_M|_N$.

Corollary 13 (Whitney Duality Theorem). *If $N \subseteq M$ is a smooth submanifold of a manifold in Euclidean space (i.e. $M \subseteq \mathbb{R}^n$ open with the identity chart), then*

$$w(N) = w(\nu_N^M)^{-1}$$

Example 14. The tangent bundle of a sphere has trivial total Stiefel-Whitney class.

Proof. The normal bundle for the standard embedding $S^n \subseteq \mathbb{R}^{n+1}$ is trivial. \square

In particular, the tangent bundle of a sphere cannot be distinguished from a trivial bundle over the sphere by means of their Stiefel-Whitney classes.

3. BUNDLES OVER PROJECTIVE SPACE

Canonical Line Bundle. We will now calculate the Stiefel-Whitney classes of the canonical line bundles directly from the axioms:

Proposition 15. *The total Stiefel-Whitney class of the canonical line bundle γ_n^1 over P^n is given by*

$$w(\gamma_n^1) = 1 + a$$

where a denotes the generator of $H^*(P^n; \mathbb{Z}_2)$ (cf. Thm. 7).

Proof. We have an obvious bundle map

$$\begin{array}{ccc} E(\gamma_1^1) & \longrightarrow & E(\gamma_n^1) \\ \gamma_1^1 \downarrow & & \downarrow \gamma_n^1 \\ P^1 & \xrightarrow{\text{incl}} & P^n \end{array}$$

Therefore

$$0 \stackrel{(S5)}{\neq} w_1(\gamma_1^1) = \text{incl}^*(w_1(\gamma_n^1))$$

and this shows that $w_1(\gamma_n^1) = a$, hence $w(\gamma_n^1) = 1 + a$ since the bundle is one-dimensional. \square

Tangent Bundle. By definition the canonical line bundle over P^n is a subbundle of the trivial $(n + 1)$ -dimensional bundle. We can thus consider its orthogonal complement γ_n^\perp which is given by

$$E(\gamma_n^\perp) := \{([x], v) \in P^n \times \mathbb{R}^{n+1} : x \perp v\} \xrightarrow{\text{proj}_1} P^n$$

Proposition 16. *The total Stiefel-Whitney class of the orthogonal complement bundle is given by*

$$w(\gamma_n^\perp) = 1 + a + a^2 + \dots + a^n$$

Proof. Since the Whitney sum $\gamma_n^1 \oplus \gamma_n^\perp = \epsilon^{n+1}$ is trivial (by construction) we have

$$w(\gamma_n^\perp) = w(\gamma_n^1)^{-1} = (1 + a)^{-1} = 1 + a + a^2 + \dots + a^n$$

\square

In particular, this shows that all of the first n Stiefel-Whitney classes of an n -dimensional vector bundle may be non-zero.

Proposition 17. *The tangent bundle τ_{P^n} of P^n is equivalent to the homomorphism bundle $\text{Hom}(\gamma_n^1, \gamma_n^\perp)$.*

Proof. Recall that the tangent bundle of projective space can be defined as follows:

$$TP^n := (TS^n := \{(x, v) \in S^n \times \mathbb{R}^{n+1} : x \perp v\}) / \{\pm 1\} \longrightarrow S^n / \{\pm 1\} =: P^n$$

Note that every point of S^n naturally represents a point in the canonical line bundle γ_n^1 and every point of the tangent plane of S^n naturally represents a point in the orthogonal complement bundle γ_n^\perp . This suggest defining a map

$$TP^n \rightarrow \text{Hom}(\gamma_n^1, \gamma_n^\perp), [(x, v)] \mapsto (x \mapsto v)$$

and it is straightforward to verify that all equivalence relations fit together in such a way that this map is a well-defined bundle equivalence. \square

Theorem 18. *The following bundles are equivalent:*

$$\tau_{P^n} \oplus \epsilon^1 \cong \bigoplus_{k=1}^{n+1} \gamma_n^1$$

In particular, the total Stiefel-Whitney class of projective space is given by

$$w(P^n) = (1 + a)^{n+1}$$

Proof. The endomorphism bundle $\text{Hom}(\gamma_n^1, \gamma_n^1)$ is trivial (consider the non-vanishing identity section). Thus

$$\begin{aligned} \tau_{P^n} \oplus \epsilon^1 &\stackrel{17}{\cong} \text{Hom}(\gamma_n^1, \gamma_n^\perp) \oplus \text{Hom}(\gamma_n^1, \gamma_n^1) \cong \text{Hom}(\gamma_n^1, \gamma_n^\perp \oplus \gamma_n^1) \\ &\cong \text{Hom}(\gamma_n^1, \epsilon^{n+1}) \cong \bigoplus_{k=1}^{n+1} \text{Hom}(\gamma_n^1, \epsilon^1) \cong \bigoplus_{k=1}^{n+1} \gamma_n^1 \end{aligned}$$

where the last equivalence is induced by the continuous inner product of the Euclidean bundle γ_n^1 . \square

Corollary 19 (Stiefel). *The total Stiefel-Whitney class of the projective space P^n is trivial if and only if $(n + 1)$ is a power of 2.*

Proof. Write $n + 1 = 2^k m$ with odd m . By the Frobenius homomorphism we have

$$w(P^n) = (1 + a)^{n+1} = (1 + a^{2^k})^m = 1 + a^{2^k} + \binom{m}{2} a^{2 \cdot 2^k} + \dots$$

It follows that $w(P^n)$ is trivial if and only if $2^k = n + 1$. \square

Applications. A (not necessarily associative) algebra is called a *division algebra* if every equation of the form $ax = b$ and $xa = b$ with nonzero a and arbitrary b has a unique solution.

Lemma 20. *A finite-dimensional algebra is a division algebra if and only if it has no zero divisors.*

Proof. Left and right multiplication are linear endomorphisms of a finite-dimensional vector space, hence injective if and only if surjective. \square

Theorem 21 (Stiefel). *Suppose there exists a real division algebra of dimension n . Then the projective space P^{n-1} is parallelizable and n is a power of 2.*

Proof. Up to isomorphism, any real division algebra of dimension n is of the form $(\mathbb{R}^n, +)$ with a bilinear product $p : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$ without zero divisors. Let us denote the standard basis of \mathbb{R}^n by (e_i) . Since p has no zero divisors, it induces automorphisms $p(\cdot, e_i)$ and

$$v_i := p(\cdot, e_i)p(\cdot, e_1)^{-1}$$

Note that $v_1 = \text{id}$ and $(v_i(x))$ are linearly independent for $x \neq 0$:

$$\sum \lambda_i v_i(x) = 0 \Rightarrow p(x, \sum \lambda_i e_i) = 0 \Rightarrow \lambda_i \equiv 0 \text{ or } x = 0$$

We can thus define sections of the bundle $\text{Hom}(\gamma_{n-1}^1, \gamma_{n-1}^\perp) \cong \tau_{P^{n-1}}$ as follows:

$$s_i([x])(y) := \text{orthogonal projection of } v_i(y) \text{ along } \langle x \rangle$$

Since $s_1 \equiv 0$ it follows that s_2, \dots, s_n are $n-1$ nowhere linearly dependent sections. We have thus proved that P^{n-1} is parallelizable, and now Cor. 19 shows that n must be a power of 2. \square

In fact, one can show that the projective space P^{n-1} is parallelizable only for $n = 1, 2, 4$ or 8 . It follows that finite-dimensional real division algebras exist precisely in these dimensions!

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